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# Strong coupling to generate complex birefringence - metasurface in the middle etalons

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## Abstract

We have measured the optical signatures of strong coupling between the resonance of etalons and plasmon antenna arrays in transmission and polarization. Planar etalons in the middle of which a plasmon antenna array is placed show anticrossings in transmission between the etalon resonances and plasmon antenna resonance, which we map as function of frequency, etalon opening and oscillator strength. We argue that the proper interpretation of strong coupling and the magnitude of the Rabi splitting requires a ‘metasurface-in-the-middle’ cavity model, and is distinct from strong coupling between a cavity and a dispersive material. Furthermore, we quantitatively connect the Rabi splitting to the electrostatic antenna polarizability, *i.e.*, the polarizability in absence of radiative damping corrections. Finally, we demonstrate that the strong coupling brings very strong polarization conversion effects, as the hybrid modes provide for a strong retardance that can be leveraged for linear birefringence and dichroism.

## Keywords

antennas, metasurfaces, strong coupling, birefringence, plasmons, polarization

## Introduction

Strong coupling of classical as well as quantum resonances is a seminal textbook problem in physics, both as an undergraduate illustration of coupled oscillator physics, and as foundation for schemes to control, *e.g.*, the flow of energy and coherence between degrees of freedom.<sup>1–5</sup> In nanophotonics there is a large interest in eliciting coupled oscillator signatures in the scattering response of dielectric and plasmonic systems.<sup>6,7</sup> Hallmark examples are Fano-resonant plasmonic oligomers,<sup>6</sup> exceptional points,<sup>8</sup> and hybrid dielectric-plasmonic resonators.<sup>9–13</sup> A main motivation for these efforts is to gain control over confinement on one hand, and over linewidth on the other hand, that is not available with the constituent individual resonators alone. Thus Fano resonances in coupled resonant photonic systems have been proposed for applications in refractive index sensing,<sup>12</sup> infrared vibrational spectroscopy,<sup>14</sup> and local density of states control that enables potentially microcavity Q with plasmonic confinement.<sup>9–11,15</sup> Furthermore, strong coupling of optical resonances with material resonances is also of large current interest, for instance to hybridize photons with excitons, phonons, and molecular vibrations.<sup>3,4,16–24</sup> This domain seeks to imbue light with nonlinear properties through those of matter, and conversely to control photophysical, electronic, and chemical processes in matter by controlling the mode structure of the photon field.

In this work we experimentally address strong coupling of etalon resonances with inserted lattices of plasmon antennas, a scenario first proposed by Ameling *et al.*<sup>25–28</sup> as ‘microcavity plasmonics’ (system as sketched in Fig. 1a). In these pioneering works the authors showed that full wave numerical simulations display avoided crossings in the etalon response that can be effectively parametrized by a coupled oscillator Hamiltonian, and they reported spectroscopy for select geometries.<sup>25–28</sup> This system has recently regained attention as a system in which to pursue strong coupling of light with matter, since Bisht *et al.*<sup>29</sup> claimed that when excitonic material is placed right at the antennas, Rabi splitting in transmission exceeds that achievable with the plasmon and excitonic material alone. Recently, such plasmonic

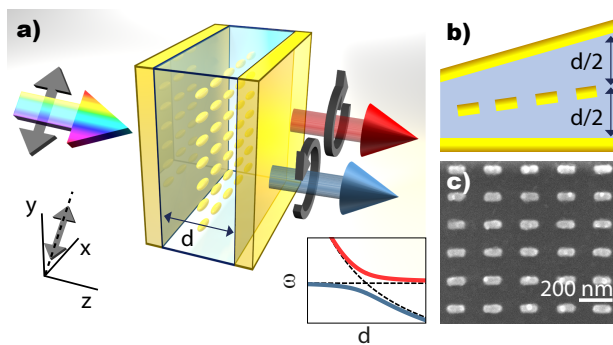


Figure 1: a) Sketch of a Fabry-Pérot cavity filled with resonant metallic nanorods. Strong coupling causes anti-crossing of the lattice and etalon resonances in the  $\omega, d$  plane (inset). The two branches appear with very strong circular polarization conversion. b) Sketch of the wedge-shaped sample (height variation of order  $1 \mu\text{m}$  over lateral distance of several millimeters). c) SEM image of Au nanorods (200 nm pitch lattice along  $x$  as example - for all samples the pitch along  $y$  is reduced by factor 0.75 compared to pitch in  $x$ ), before completion of the sample.

systems have been used to reach deep strong coupling at ambient conditions.<sup>30,31</sup> In this light a more precise understanding of the relation between geometry and anticrossing, *i.e.*, between antenna polarizability, and magnitude of the anticrossing, is called for. In this work we experimentally survey the parameter phase space of frequency and etalon spacing while systematically varying the antenna lattice oscillator strength, by varying the lattice density. Thereby we elucidate the emergence of anticrossing, providing a more microscopically motivated viewpoint than that provided by fitting full wave simulations to an ad hoc Hamiltonian of coupled mode theory. Next we examine polarimetric signatures of the strong coupling in our systems. We have studied microcavity plasmonics on basis of nanorods that provide a resonance, and hence strong coupling, only along one polarization axis. Thereby the microcavity-plasmon etalons display a very strong linear dichroism and polarization-dependent retardance. This complex birefringence expresses as very distinctive strong linear and circular polarization conversion signatures for strong coupling.

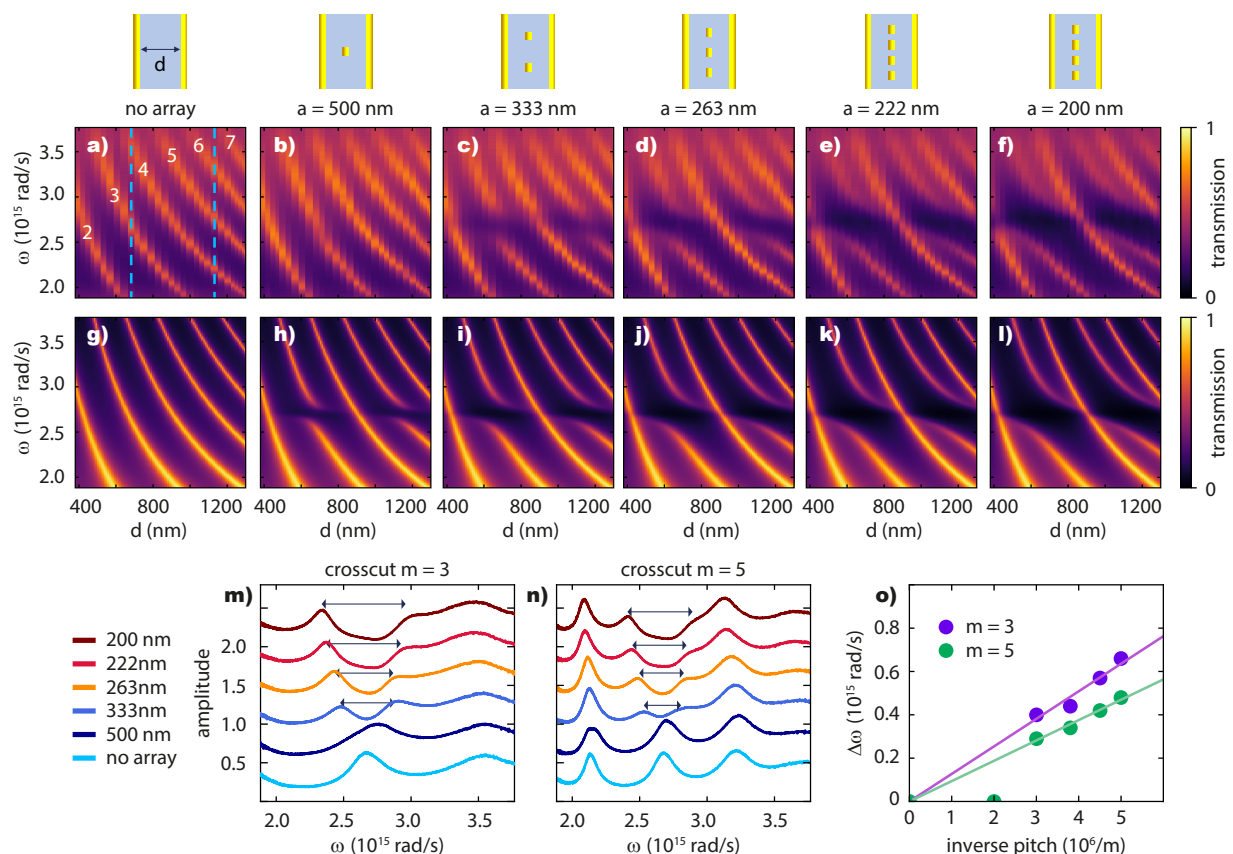


Figure 2: **a-f)** Transmission through planar Fabry-Pérot cavity-antenna hybrids as a function of etalon thickness and frequency. a) Empty cavity, b-f) the antenna array scattering strength is increased from pitch 500 to 333, 263, 222 and 200 nm. Panels g-l) show the corresponding calculated transmission from a simple transfer matrix model. m) and n) Transmission versus frequency at 665 nm and 1110 nm etalon width, corresponding to the bare etalon 3rd and 5th mode. Curves at increasing antenna density are offset vertically. o) Rabi splitting versus inverse lattice pitch for etalon mode 3 and 5.

## Strong coupling transmission experiment

Figure 1 demonstrates the experimental system. The etalon in this work consists of two planar Au mirrors of 20 nm thickness separated by a variable thickness  $d$  of evaporated  $\text{SiO}_x$  (380 - 1300 nm) deposited in an evaporator with a continuously moving shutter. (Fig 1b, wedge of micrometer height extending over millimeters). The etalon is chosen such that we can study the lowest order modes (up to order 7), while providing a Q of order 100. Centered between the mirrors we place scattering Au nanoantenna arrays that are fabricated by electron-beam lithography and lift off after depositing the first mirror and half of the

spacer. An SEM image is shown in Fig 1c). The antennas are fabricated with dimensions around  $100 \times 50$  nm so as to have a resonance around 700 nm (see Methods). We fabricated lattices of antennas with varying density, so that thereby the oscillator strength per unit area that is loaded into to the cavity to induce normal mode splitting is systematically varied. We label arrays by their pitch along the long axis. Along their short axis the pitch is slightly smaller (factor 0.75) to raise the packing density.

The gentle slope of the wedged spacer ensures that we can measure transmission as function of etalon spacing in transmission simply by displacing the wedge through a  $\sim 50$   $\mu$ m collection area. We employ a simple white light normal incidence transmission spectroscopy set up with a fibercoupled grating spectrometer.<sup>32</sup> Figure 2a-f) shows transmission plots of these cavity-antenna hybrids as function of cavity length  $d$  and frequency  $\omega$ , with the incoming polarization aligned to the resonant, *i.e.*, long, antenna axis (horizontal polarization), and no polarization analysis in the detection path. Transmission spectra are taken at etalon thicknesses  $d$  in steps of approx. 22 nm. Fig 2a) plots the transmission in absence of a perturbation, and simply displays the well known Fabry-Pérot transmission peaks at frequencies for which the etalon length matches the cavity resonance conditions. White numbers denote mode order, and blue lines mark the thicknesses at which crosscuts are shown in Fig 2m,n). Figures 2b-f) plot transmission in presence of the scattering antenna array of pitch  $a$  decreasing from 500, 333, 263, 222 to 200, *i.e.*, arranged in order of increasing density and hence weak to strong perturbation. At 500 nm density only a mild reduction of transmission at the etalon transmission maxima occurs that is barely observable for frequencies around the plasmon resonance. At 333 nm pitch the odd resonance orders (mode orders  $m$  = clarified using white labels in Fig 2a) show a distinct disappearance of the transmission maxima. At pitches from 263 nm and lower, the appearance of an anticrossing is evident for the odd modes, while the even modes are left unaffected. Qualitatively this data confirms the expectation due to Ameling *et al.*<sup>28</sup> that once the scattering strength of the arrays reaches a certain threshold, strong coupling sets in. The case of 333 nm pitch Fig 2c)

is clearly at or above this threshold. Since the plasmonic particles reside in the center of the etalon, they are at a node of the *even* etalon modes, which are hence left unaffected. Conversely, the odd modes have excellent field overlap with the antennas, as evident from full wave simulations of local fields<sup>25,26,28,30</sup> and induced dipole moments<sup>33</sup> in similar systems. Qualitatively, increasing the array density (Fig 2d-f) makes the splitting more pronounced, while conversely the Rabi splitting decreases with mode order. This is consistent with the qualitative expectation that Rabi splitting scales with the square root of oscillator strength and inverse cavity length. While this behavior was observed also in full wave simulations by Ameling *et al.*,<sup>28</sup> the systematic progression has not been mapped experimentally before.

The figure of merit for the strength of coupling is the magnitude of the anticrossing, or Rabi splitting.<sup>3-5</sup> As a first-order quantification we obtain Rabi splitting from spectra at resonant etalon thicknesses. We determine the etalon thickness at which the bare etalons have their resonance at the bare antenna array resonance (ca. 710 nm vacuum wavelength in this work) and report the spectral dependence of transmission at these thicknesses for the 3rd and 5th mode order in Fig. 2m) and n), curves vertically offset for clarity. Spectra show the expected distinct evolution from a single transmission maximum to a split doublet. At the very high and low end of the frequency spectrum, furthermore peaks appear that correspond to higher resp. lower mode orders, while for the bluest wavelengths the contrast generally deteriorates due to increased material absorption in the gold mirrors and antennas. Nonetheless, the Rabi splitting can be readily extracted. We find values of order  $\Delta\lambda = 180$  nm, or equivalently  $\hbar\Delta\omega = 400$  meV in the densest lattices and for the lowest order (3rd) mode. This Rabi splitting of  $\Delta\omega/\omega = 0.24$  is representative for systems of plasmon antenna arrays in a cavity,<sup>25-29</sup> and can be increased by careful engineering of the system.<sup>30</sup> Plasmonic systems based on diffractive surface lattice resonances achieve lower splittings with  $\Delta\omega/\omega$  of order 0.05.<sup>34</sup> Deep strong coupling with an extremely high splitting of  $\Delta\omega/\omega = 3.6$  has been observed in 3D tightly packed plasmonic nanoparticle crystals.<sup>31</sup>

## Metasurface-in-the-middle model

It is very tempting to interpret the plasmonic signature in transmission as the classical anticrossing one gets in an etalon filled with an atomic gas with a dispersive polarizability, as described in the seminal paper by Zhu *et al.*<sup>16</sup> In fact the physics for metasurface etalons is quite different. This is easily seen by examining a so-called "membrane in the middle" model for etalons in which a partial reflector is introduced in the middle, as discussed by Jayich *et al.*<sup>33,35</sup> in the context of cavity optomechanics. For a planar reflector of amplitude reflection  $r_a$  and transmission  $t_a = 1 + r_a$  centrally placed in an etalon of width  $d$  and identical mirror response (reflection and transmissions  $r$  resp.  $t$ ), the transmission reads

$$t_{\text{stack}} = \frac{(r_a + 1)t^2 e^{ikd}}{1 - r^2(2r_a + 1)e^{2ikd} - 2rr_a e^{ikd}}. \quad (1)$$

with  $k = \omega/cn(\omega)$  the wavenumber in the medium inside the cavity at frequency  $\omega$ . The textbook case of classical strong coupling in a cavity completely filled with an atomic gas<sup>16</sup> has no middle reflector ( $r_a = 0$ ) and a dispersive phase accumulation  $\phi = 2\omega/cn(\omega)d$  provided through the frequency-dependent gas refractive index  $n(\omega) = 1 + \frac{1}{2}\rho\alpha$  ( $\rho$  the density and  $\alpha$  atomic polarizability). This dispersive phase accumulation causes the resonance condition (minimum in the denominator  $\mathcal{L}$  of Eq. 1, found by Ref.<sup>16</sup> by assessing when the *argument* of  $\mathcal{L} + 1$  equals an odd-integer multiple of  $\pi$ ) to be achieved twice for a given mode order. These two conditions are spaced in frequency by the Rabi splitting. Instead for a plasmon array as reflector, there is no propagation delay that enters through  $e^{iknd}$  as the entire effect of the antennas is contained in the dispersive metasurface reflection and transmission coefficient  $r_a$  resp.  $t_a = 1 + r_a$ . This is an important difference: for the densest lattices in our work, the bare lattice reflectivity exceeds 50%, indicating that strong coupling indeed is associated not with a propagation delay  $n$ , but with a dispersive impedance in the system.

The metasurface reflection in a semi-analytical coupled dipole approximation for infinite



lattices of scatterers reads<sup>36,37</sup>

$$r_a = \frac{2\pi i k}{\mathcal{A}} \alpha_{\text{latt}} = \frac{2\pi i k}{\mathcal{A}} \frac{1}{1/\alpha_{\text{stat}} - \mathcal{G}} \quad (2)$$

where  $\mathcal{A}$  is the unit cell area,  $\mathcal{G}$  is an Ewald lattice-summation accounting for all dipole-dipole interactions,  $\alpha_{\text{stat}}$  is an electrostatic antenna polarizability. As unit definition, we use  $\mathbf{p} = 4\pi\epsilon\alpha\mathbf{E}$  (with  $\epsilon$  the host medium permittivity) so that  $\alpha$  has units of volume. Importantly, there are three polarizabilities overall that one can distinguish for a scatterer. First, the electrostatic polarizability is a purely mathematical construct that in the limit of, *e.g.*, small spheres follows from Rayleighs approximation in terms of just scatterer volume and dielectric constant. This is not actually an observable, since inserting it in literature expressions for extinction and scattering cross sections of a single antennas show violations of energy conservation. In those observables instead the electrodynamic polarizability  $\alpha_{\text{dyn}} = (\alpha_{\text{stat}}^{-1} - i\frac{2}{3}k^3)^{-1}$  appears, which follows from the static one by addition of dynamic corrections (radiation damping). This is the polarizability that can be retrieved from full wave simulations and extinction measurements, through  $\sigma_{\text{ext}} = 4\pi k \text{Im}\alpha$ . Finally, in a lattice the radiation damping term  $\frac{2}{3}k^3$  is replaced by  $\mathcal{G}$ , giving the lattice polarizability  $\alpha_{\text{lat}}$ . For non-diffractive lattices,  $\text{Im}\mathcal{G} = \frac{2\pi i k}{\mathcal{A}}$ . The interpretation is that superradiant damping ensures that the reflectivity remains below unity in magnitude.

Figure 2g-l) reports calculations using a transfer matrix model that slightly improves upon the simple metasurface-in-the-middle model by taking the finite thickness of the mirrors into account.<sup>33</sup> The model accurately reproduces all features of the experiment. We use as electrostatic polarizability a Lorentzian model  $\alpha_{\text{stat}} = \omega_0^2 V / (\omega_0^2 - \omega^2 - i\omega\gamma)$  with  $\omega_0 = 2.7 \cdot 10^{15} \text{ s}^{-1}$  the bare lattice resonance frequency,  $\gamma = 9.5 \cdot 10^{13} \text{ s}^{-1}$  the Ohmic loss rate of the metal composing the antennas. Finally,  $V = 4.2 \cdot 10^{-23} \text{ m}^3$  is an effective scatterer volume, quantifying the single antenna oscillator strength that is comparable to its physical volume (discussed extensively below). The parameters are matched to simulated

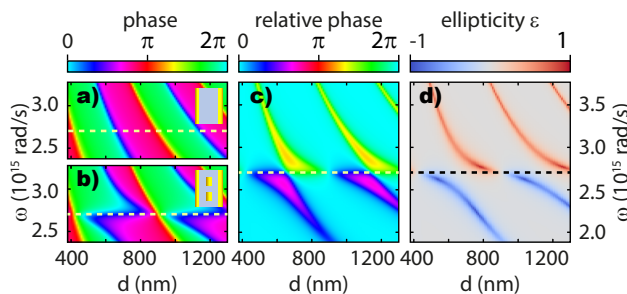


Figure 3: a) Calculated transmission phase through an empty cavity, as function of cavity thickness  $d$  and frequency  $\omega$  (etalon front facet as phase reference). Note a cropped frequency axis. b) Case a) but with 200 nm pitch nanorod array. c) Phase difference between a) and b), revealing the relative phase between x and y polarized transmitted light. d) Polarization ellipticity for transmitted light upon diagonally linearly polarized input. The phase difference translates into polarization ellipticity.

metasurface transmission in absence of the etalon mirrors. The mirrors are chosen as 20 nm thick Au reflectors ( $n = 0.25 + 4.5i$ , chosen dispersionless). Overall, the model reproduces all salient features of the data, with the noted difference that the model material constants underestimates the increased damping of gold towards higher frequency (most notably this prevents strong coupling in data at pitch  $a = 500$  nm).

The Rabi splitting in the metasurface-in-the-middle model in the limit of small  $r_a$  as<sup>33</sup> can be found by mirroring the analysis strategy of Zhu et al.,<sup>16</sup> analysing when the *argument*  $\mathcal{L} + 1$  equals an odd-integer multiple of  $\pi$ . We find

$$\omega_{\pm} = \omega_0 \left( 1 \pm \sqrt{\frac{2\pi V}{\mathcal{A}d}} \right). \quad (3)$$

We have verified numerically that Eq. (3) describes the splitting also for large  $r_a$ , i.e., if very dense and highly reflective antenna arrays are assumed in the membrane-in-the-middle model. The splitting is in form very similar to that derived for cavities infilled with an atomic gas, which scales as the square root of the product of atomic oscillator strength and density. This is remarkable, given that the oscillator now appears through  $r_a$  and not through  $n$ . The inverse dependence of the splitting with  $\sqrt{\mathcal{A}d}$  is clearly evident in the splittings extracted from the data (splitting determined at  $d = 665$  nm for mode 3, and  $d = 1110$  nm for mode

5), plotted versus inverse pitch in Fig. 2o). Fitting straight lines through the origin to the observed splittings, we find the slopes for mode 3 and 5 to stand in the ratio 1.35, in reasonable accord with the expected  $\sqrt{5/3} \sim 1.29$ , or equivalently the difference in  $d$ .

A very peculiar aspect of the predicted Rabi splitting in Eq.(2) is that it *only* depends on the effective scatterer volume  $V$ , in essence a purely *electrostatic* characteristic of the antenna. None of the ‘dynamic’ polarizability corrections in either  $\alpha_{\text{dyn}}$  or  $\alpha_{\text{latt}}$  appear in the splitting despite fully accounting for dynamic effects in its derivation (telltale signature is the absence of terms involving the speed of light  $c$  in Eq. (3)). This distinction is actually significant in this work. The slopes of the linear fits in Fig. 2o) translate to an effective scatterer volume  $V \approx (4.2 \pm 0.2) \cdot 10^{-23} \text{ m}^3$ . This number is in remarkable accordance with the physical particle volume of  $100 \times 50 \times 40 \text{ nm}^3 = 5 \cdot 10^{-22} \text{ m}^3$  if one recognizes that one should expect  $V$  to differ by a factor  $\sim 3/4\pi \approx 0.24$  from physical volume (Fröhlich model for a Drude model plasmonic nanosphere). This volume implies a concomitant *on-resonance quasistatic polarizability*  $\alpha_{\text{stat}} = (\omega_0/\gamma V) \approx 1.3 \cdot 10^{-21} \text{ m}^3$ . This far exceeds the *dynamic* polarizability attainable for a single dipole scatterer (strictly bounded by radiation damping to  $\alpha_{\text{dyn}} \leq (\frac{2}{3}k^3)^{-1} \approx 6 \cdot 10^{-22} \text{ m}^3$  on resonance), and even more so that attainable in a lattice ( $\alpha_{\text{latt}} \leq \mathcal{A}/(2\pi k) \approx 4.6 \cdot 10^{-22} \text{ m}^3$  to avoid reflectivity exceeding unity). A related surprise is in stock when checking if the strong coupling condition is met on basis of damping rates and Rabi splitting. The loss of a plasmon antenna array is the sum of Ohmic loss ( $Q \approx 30$ ) and radiative loss that increases strongly with antenna scattering strength and array density due to collective superradiant damping. For instance, at 200 nm pitch, the FWHM of the bare array reflectivity corresponds to a  $Q < 5$  (evaluated from Eq. (2)). One should then conclude that strong coupling is hardly attainable since the Rabi splitting in Fig.2o) corresponds to  $\Delta\omega/\omega = 0.24$ . Instead, the observed anticrossing is very well resolved in Figure 2-f), and evidently it is the quasistatic  $Q \sim 30$  that is relevant. The observation that the electrostatic polarizability with no dynamic corrections matters for Rabi splitting is highly peculiar, since it is not an observable in any measurement that measures

scattering strength, *e.g.* through extinction. The absence of radiative loss has recently been observed in a similar plasmonic system via narrowing of the polariton linewidth,<sup>30</sup> to which our model brings more insight. This underlines the caution with which one should approach predicting Rabi splittings from full wave numerical simulations or measurements: inverting measured extinction into  $\alpha$ , and subsequently into an apparent scattered volume for insertion in Eq. (3) through  $V \approx \gamma/\omega_0(\sigma_{\text{ext}}/(4\pi k))$  one would obtain a dramatic underestimate of the Rabi splitting. To rationalize our peculiar finding we note that even if *on-resonance* polarizabilities are strongly reduced by dynamic corrections [ $\alpha_{\text{latt}}(\omega_0) \ll \alpha_{\text{stat}}(\omega_0)$ ], in fact the correction is small  $\alpha_{\text{latt}}(\omega_{\pm}) \approx \alpha_{\text{stat}}(\omega_{\pm})$  at the normal mode frequencies  $\omega_{\pm}$ . This distinction is actually significant in this work. The slopes of the linear fits in Fig. 2o) translate to an effective scatterer volume  $V \approx (4.2 \pm 0.2) \cdot 10^{-23} \text{ m}^3$ . This number is in remarkable accordance with the physical particle volume of  $100 \times 50 \times 40 \text{ nm}^3 = 5 \cdot 10^{-22} \text{ m}^3$  if one recognizes that one should expect  $V$  to differ by a factor  $\sim 3/4\pi \approx 0.24$  from physical volume (Fröhlich model for a Drude model plasmonic nanosphere). This volume implies a concomitant *on-resonance quasistatic polarizability*  $\alpha_{\text{stat}} = (\omega_0/\gamma V) \approx 1.3 \cdot 10^{-21} \text{ m}^3$ . This far exceeds the *dynamic* polarizability attainable for a single dipole scatterer (strictly bounded by radiation damping to  $\alpha_{\text{dyn}} \leq (\frac{2}{3}k^3)^{-1} \approx 6 \cdot 10^{-22} \text{ m}^3$  on resonance), and even more so that attainable in a lattice ( $\alpha_{\text{latt}} \leq \mathcal{A}/(2\pi k) \approx 4.6 \cdot 10^{-22} \text{ m}^3$  to avoid reflectivity exceeding unity). A related surprise is in stock when checking if the strong coupling condition is met on basis of damping rates and Rabi splitting. The loss of a plasmon antenna array is the sum of Ohmic loss ( $Q \approx 30$ ) and radiative loss that increases strongly with antenna scattering strength and array density due to collective superradiant damping. For instance, at 200 nm pitch, the FWHM of the bare array reflectivity corresponds to a  $Q < 5$  (evaluated from Eq. (2)). One should then conclude that strong coupling is hardly attainable since the Rabi splitting in Fig. 2o) corresponds to  $\Delta\omega/\omega = 0.24$ . Instead, the observed anticrossing is very well resolved in Figure 2-f), and evidently it is the quasistatic  $Q \sim 30$  that is relevant. The observation that the electrostatic polarizability with no dynamic corrections matters for Rabi splitting

is highly peculiar, since it is not an observable in any measurement that measures scattering strength, *e.g.* through extinction. The absence of radiative loss in the linewidth comparison for assessing strong coupling has recently been observed in a similar plasmonic system, where fitting of a Hamiltonian parametrization for strong coupling to measured data similarly indicates an apparent narrowing of the plasmon polariton linewidth.<sup>30</sup> Our model offers an explanation for this observation, as it covers the entire chain from scatterer polarizability to metasurface response to etalon response in a self-consistent semi-analytical model under controlled approximations. This observation underlines the caution with which one should approach predicting Rabi splittings from full wave numerical simulations or measurements: inverting measured extinction into  $\alpha$ , and subsequently into an apparent scattered volume for insertion in Eq. (3) through  $V \approx \gamma/\omega_0(\sigma_{\text{ext}}/(4\pi k))$  one would obtain a dramatic underestimate of the Rabi splitting. To rationalize our peculiar finding we note that even if *on-resonance* polarizabilities are strongly reduced by dynamic corrections [ $\alpha_{\text{latt}}(\omega_0) \ll \alpha_{\text{stat}}(\omega_0)$ ], in fact the correction is small  $\alpha_{\text{latt}}(\omega_{\pm}) \approx \alpha_{\text{stat}}(\omega_{\pm})$  at the normal mode frequencies  $\omega_{\pm}$ .

## Polarimetric signature

The nanorod antennas used in this work only have a resonant response along  $x$  for the wavelengths considered in this work. Thus the antenna-etalons display strong coupling for  $x$ -polarization, but the response for  $y$ -polarized input light is essentially that of an empty etalon. This anisotropy causes very distinct polarization signatures in transmission when illuminating the etalons with any polarization that contains both  $x$  and  $y$  contributions. The root cause is that strong coupling in the  $x$ -polarized channels carries not only a distinct amplitude response, but also a distinct phase. Figure 3a,b) report the calculated phase in transmission for the etalon in  $y$ -polarization (calculated as etalon with no nanorods), and the etalon in  $x$ -polarization respectively. The phase reference is the front facet of the etalon, meaning that the equivalent homogeneous space would show a phase linearly incrementing as

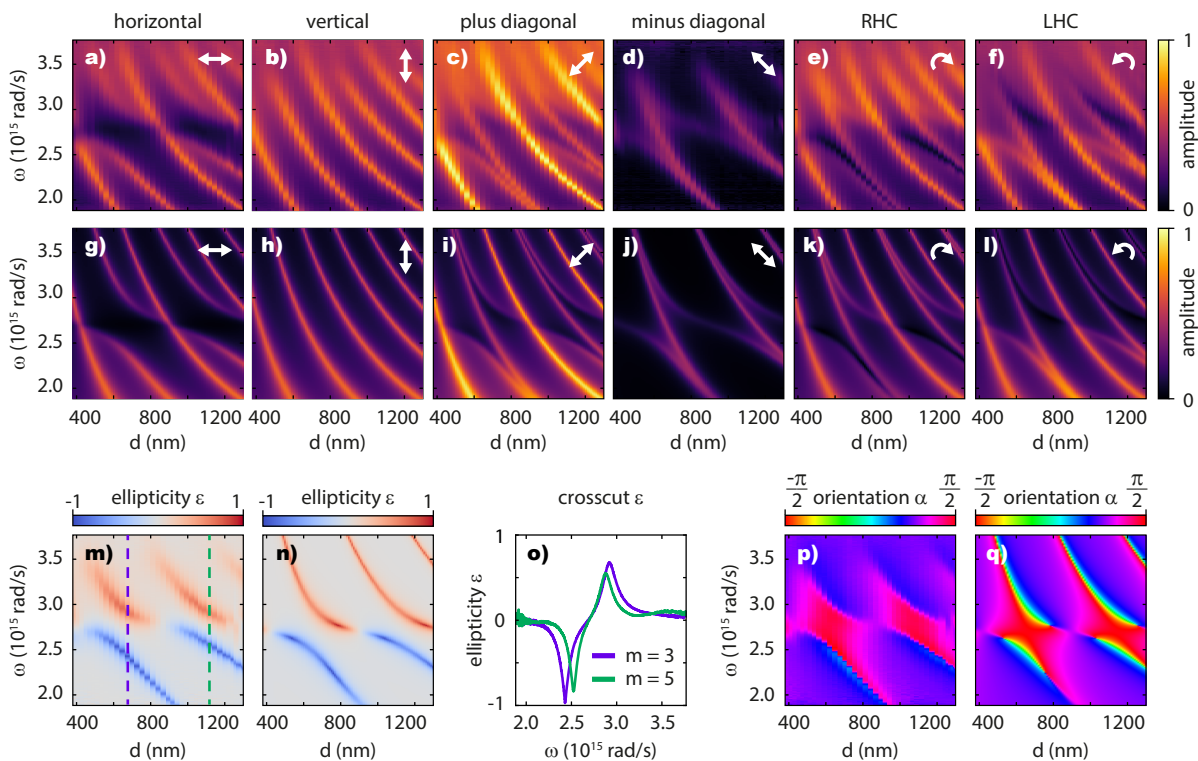


Figure 4: a-f) Transmission through the planar Fabry-Pérot cavity-antenna hybrid shown in Fig. 2f) (pitch 200 nm), for six different detection polarization channels, with identical diagonal input polarization  $P$ . Color plots show spectra taken at a range of etalon spacings  $d$ , at approx. 22 nm increments. Panel a): detection aligned with the antenna resonance axis, reporting strong coupling. b) Detection perpendicular to the antenna long axis, returning bare Fabry-Pérot lines. c) Linear diagonal polarization along the input polarization. d) The crosspolarization channel  $M$  is nonzero, a clear sign of polarization conversion. e and f) Circular right- and lefthanded polarization. g-l) Calculated response of the system. m,n) Measurement and calculation of the polarization ellipticity  $\epsilon$ , retrieved from the six polarization measurements. o) Crosscuts through  $\epsilon$  at the third and fifth cavity mode. p,q) Experimental and theoretical orientation of the polarization ellipse long axis  $\alpha$ .

$nkd$  (not shown here). The bare etalon instead has a flat response punctuated by jumps by  $\pi$  at each resonance. The etalon with plasmons finally has for every odd mode a distinct  $S$  shape in the phase response, due to the dispersive lattice reflectivity. The *phase difference* between bare and filled etalon brings out as a phase signature the anticrossing bands (Figure 3c)). We argue that polarimetry can reveal exactly this *phase difference* between  $x$  and  $y$  transmission coefficient. Figure 3d) illustrates precisely this scenario as expressed in the *polarization ellipticity*  $\epsilon$  of transmitted light predicted for  $45^\circ$  linear input polarization (ellipticity defined

as ratio of minor to major axis of polarization ellipse, with sign coding for handedness). The ellipticity is predicted to directly reveal the anticrossing bands. One interpretation is that by coming in at  $45^\circ$  linear polarization and performing polarimetry one in essence uses the  $y$ -polarized channel as reference beam against which to perform interferometry and measure the phase imparted by the strong coupling in the  $x$ -channel. An equivalent viewpoint is that the microcavity plasmonic structure is a multilayer metasurface stack that shows distinct linear dichroism and birefringence particularly at the anticrossing hybrid modes.

We have observed the predicted complex birefringence in full Stokes polarimetry measurements, coming in at diagonal  $\pm 45^\circ$  linear polarization and collecting transmitted intensity normalized to the overall lamp spectrum in the linear horizontal, vertical, diagonal and antidiagonal channels, as well as the right and left handed circular polarization channel ( $T_H, T_V, T_P, T_M$  resp.  $T_R$  and  $T_L$ , see Methods and Ref.<sup>38</sup>). The measurements require distinct care in cancelling out residual birefringence of lenses, and polarization selectivity of the fiber-coupled spectrometer for which we refer to the Methods section. Figure 4(a-f) show for  $+45^\circ$  input polarization the transmission in the detection channels. We take from Fig. 2 the case in which splitting is the most pronounced (panel f), 200 nm pitch). For horizontal detection polarization the measurement simply replicates that of Fig. 2f), but at half the amplitude since only half the input is in the strong coupling polarization channel. For vertical, i.e.  $y$ -polarized, detection (Fig. 4b) we observe the signature of a bare etalon, as the  $x$ -oriented antennas have essentially no response when driven along their short axis. The P polarized transmission (diagonal, along the input) is qualitatively much like the sum of H and V, so that etalon mode 2 and mode 4, which are decoupled from the plasmons and hence appear in both H and V, appear twice brighter than the odd modes. Peculiar is the appearance of light in the cross-polarized diagonal channel, pointing directly at significant polarization rotation. Calculations using our metasurface-in-the-middle approach confirm all observed features.

Through Stokes' formalism (see methods) we extract the *polarization ellipticity*  $\varepsilon$  and the

polarization ellipse orientation  $\alpha$ , which together quantify the full transmitted polarization ellipse. The ellipticity directly brings out the peculiar phase response associated to strong coupling. Generally throughout parameter space and away from the hybrid plasmon-etalon mode the input linear polarization remains largely linear (white shading in Figure 4m), though with rotations in the polarization *angle* shown in Figure 4p) (blue indicates orientation unchanged from incoming polarization at  $+45^\circ$ , *i.e.*  $\pi/4$ ). This is commensurate with the fact that the  $x$  and  $y$ -polarized transmission generally have different magnitude but identical phase. However, right at the hybrid modes the polarization signature is very different, with strong conversion from the input linear polarization to circular output. The helicity is of opposite sign for the upper and lower branch. The strong ellipticity signatures appear *only* for the perturbed modes ( $m = 3$  and  $m = 5$ ). Crosscuts through the ellipticity landscape (Figure 4o) show that the hybrid mode is revealed with large contrast, which is advantageous for extracting the Rabi splitting when comparing to, *e.g.* just the transmission of horizontally polarized light in Fig. 2f). All features in intensity and polarization are well reproduced in a simple model that simply evaluates the appropriate polarization projections from a linear superposition of the  $x$ - and  $y$  polarized transmission (Eq (1) respectively with and without  $r_a$ , Figs. 4g-l) for transmission in each polarization channel, panels n) and q) for polarization ellipticity and ellipse orientation).

## Conclusion

In summary, we have reported the experimental signature of strong coupling between etalon resonances and those of embedded resonant metasurfaces in transmission and in polarimetry. While superficially similar to the classical strong coupling between planar cavities in dispersive matter, in fact the strong coupling has quite a different origin: it does not originate from phase pickup upon traversing the length of a medium with dispersive refractive index, but instead maps onto a ‘metasurface-in-the-middle’ cavity model with a strong, res-



onant reflection response. The crucial difference is that a metasurface provides its effect as a surface impedance, whereas a dispersive medium would enter through the phase pickup term  $e^{ikd}$  in Eq. (1). We refer to Berkhout<sup>33</sup> for a deeper discussion of the unavoidable inconsistencies encountered when attempting to map such a surface impedance effect onto propagation phase pickup through a thin slab with dispersive refractive index. Rabi splitting is customarily anticipated to scale with the square root of the *on-resonance* polarizability. Peculiar is that it is the *electrostatic* antenna polarizability that enters the scaling, which is not an observable in any known measurement or full-wave calculation of scattering strength. This is of high relevance for large splittings, since the electrostatic polarizability can far exceed the electrodynamic one, and is also relevant for the bare-resonator  $Q$  to which the Rabi splitting should be compared. These conclusions are of large relevance for the quantitative study and optimization of strong coupling between light and matter, as facilitated by hybrid photonic-plasmonic structures. Finally, the plasmon array etalons show a very strong linear birefringence and dichroism. On one hand this provides a new modality for evidencing strong coupling in experiments. On the other hand it may have implications for metasurfaces aimed at realizing complex birefringence for amplitude, polarization and phase control of light. We furthermore note that linear birefringence in microcavities can give rise to eigenmodes with singular chiral properties that correspond to Voigt exceptional points.<sup>39</sup> These appear at off-normal incidence as polarimetric singularity at select frequencies and parallel momenta set by the eigenmode dispersion. The cavities that we studied could provide an exquisite platform to engineer such singularities.

## Methods

### Sample fabrication

As etalons we use a planar Au - SiO<sub>x</sub> - nanorod array - SiO<sub>x</sub> - Au layer structure fabricated on a glass substrate (or: mirror - spacer - metamirror - spacer - mirror). A crucial step

in this process is to have tight control over the thickness of the deposited spacer layers. These two  $\text{SiO}_x$  layers are deposited in a wedge shape, with their thickness increasing in the same  $x$ -direction, in order to realise the desired range of etalon spacing (380 - 1300 nm) while keeping the nanorods centered in the cavity. Nanorod arrays are fabricated by electron beam lithography over a rectangular writefield that stretches the entire spacer wedge using e-beam lithography. Five different pitches are fabricated offset in  $y$ -direction.

The fabrication procedure is as follows. After cleaning the glass substrate, a 3 nm Cr adhesion layer is deposited, followed by a 20 nm Au layer. This constitutes the first etalon mirror. Next, the application of a thin layer of ORMOCOMP (approx. 20 nm) is found to be critical to reduce stress in the subsequently deposited spacer layer. Thermal evaporation of  $\text{SiO}_x$  is done using a linear shutter to realize a wedge of increasing thickness ranging from about 150 to 610 nm. Next, nanorods are fabricated using electron beam lithography, for which we use a stack that has ca. 100 nm PMMA 495-A8 covered with a  $\sim 20$  nm Ge etch mask and finally ca. 50 nm of CSAR AR-P 6200:09 as actual resist. We use Raith Voyager 50 keV e-beam lithography system to expose the CSAR, and after development etch through the Ge (1:5  $\text{O}_2:\text{SF}_6$  plasma etch), and subsequently isotropically etch the PMMA. Finally we evaporate gold and perform lift-off in acetone to obtain rectangular arrays ( $y$ -pitch 0.75 times pitch along  $x$ , the long antenna axis) of nanorods, ca.  $100 \times 50 \times 40$  nm in size. Despite our efforts, a small systematic variation of antenna size with dose remains (smaller size at larger pitch). The second layer of  $\text{SiO}_x$  is applied in the same way as the first layer, now aiming at a wedge of 210 to 670 nm thickness to compensate for both the ORMOCOMP in the bottom layer and the thickness of the antennas. As a last step, the cavity is completed by evaporating the final 20 nm Au mirror. The intermediate and final spacer thickness profiles are inspected by (mechanical) profilometry, and in the final measurements cavity length is calibrated by fitting a Fabry-Pérot response to empty cavity transmission spectra. Evaporation of Cr, Au and  $\text{SiO}_x$  (outer mirrors and spacers) is performed in a thermal evaporation system (Polyteknik Flextura M508 E). For the nanorods a homebuild thermal

evaporator was used, however similar quality antennas have been achieved using the Flextura system.

## Setup

To measure transmission spectra we use a simple setup reported in<sup>32</sup> in which light from a fiber coupled halogen lamp (Avalight, Avantes) is collimated and subsequently focused on the sample by an  $f = 30\text{mm}$  mm lens. On the transmitted side, light is recollimated by an identical lens, relayed through polarization analysis optics, and finally coupled into an Avantes grating spectrometer. The detection area is approximately  $50\text{ }\mu\text{m}$  across on the sample. A challenge in such a set up is to get consistent polarimetry results as there are minor birefringence effects in achromatic lenses, and since the fiber-coupled grating spectrometer presents a polarization-selective responsivity. In this work we first pass the light through a fixed horizontal linear polarizer, and subsequently set the incident polarizer by a second polarizer in diagonal/anti-diagonal orientation. This ensures that the input spectrum is identical for both input polarization settings. Polarimetry on the output is performed using a broadband quarter wave plate and linear polarizer (wave plate Thorlabs AQWP05M-600, all linear polarizers Thorlabs LPVIS100-MP2, setting sequence as in<sup>38</sup>).

## Polarimetry

To deal with the slight polarization selectivity of the detector, we obtain the transmission coefficients for the 6 detection channels from measurements through plain glass as follows (superscript indicates incident polarization, subscript output selector setting):  $T_{H,V,R,L}^{P,M} = 0.5 \cdot I_{\text{sample},H,V,R,L}^{P,M} / I_{\text{glass},H,V,R,L}^{P,M}$  (for the 4 channels neither coincident with nor crossed to the input),  $T_{P,M}^{P,M} = I_{\text{sample},P,M}^{P,M} / I_{\text{glass},P,M}^{P,M}$  (for the channel coincident with the input), and finally  $T_{M,P}^{P,M} = I_{\text{sample},M,P}^{P,M} / (\langle I_{\text{glass}} \rangle - 0.5 \cdot I_{\text{glass},P,M}^{P,M})$ . Here we have used the fact that despite the slight polarization selectivity in the detection the *sum* over orthogonal channels is to a few percent identical for whichever orthogonal polarization combination is chosen ( $H + V$ ,

$R + L$ , or  $P + M$ ). This sum is indicated as  $\langle I_{\text{glass}} \rangle$ . We have verified that for the bare etalon this gives the expected response (*i.e.*, no cross polarization generated) and that our measurements are consistent when swapping the input polarization from diagonal to anti-diagonal. Polarizations are defined as  $H = x$ ,  $V = y$ ,  $P, M = \frac{1}{\sqrt{2}}(x \pm y)$ ,  $R, L = \frac{1}{\sqrt{2}}(x \pm iy)$  with  $x$  horizontal,  $y$  vertical, and  $x \times y$  pointing from light source to detector.

The six polarized transmission measurements redundantly encode the four Stokes parameters as  $S_0 = T_H + T_V$ ,  $S_1 = T_H - T_V$ ,  $S_2 = T_P - T_M$  and  $S_3 = T_R - T_L$  which allow to reconstruct the full polarization ellipse. Ellipticity is defined as the ratio of minor to major axis of the polarization ellipse and is calculated as  $\varepsilon = S_3 / (\sqrt{S_1^2 + S_2^2 + S_3^2} + \sqrt{S_1^2 + S_2^2})$ .  $\varepsilon$  takes values  $-1$  or  $+1$  for entirely left-handed (LHC) resp. right-handed (RHC) circularly polarized light, and equals  $0$  for linear polarization. The polarization orientation is specified by the angle  $\alpha = \frac{1}{2} \arg(S_1 + S_2)$  from polarization ellipse major axis to  $x$ -axis, with values from  $-\pi/2$  tot  $\pi/2$  and  $0$  encoding for horizontal orientation.

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## Supporting Information Available

Supporting Information:

- PDF file describing transfer matrix model for calculating the transmission of metasurface in the middle etalons, including result for dispersive mirror.

- ASCII file containing Matlab code for transfer-matrix model

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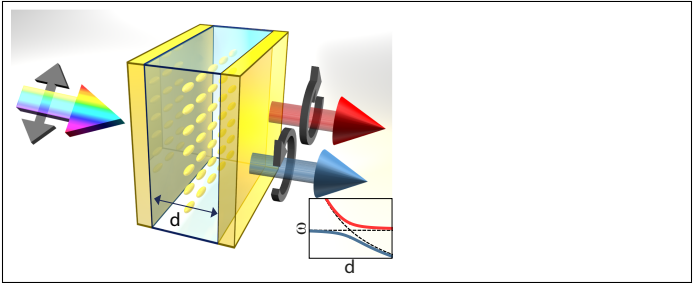
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# Graphical TOC Entry



The graphic shows a Fabry-Pérot cavity filled with resonant metallic nanorods. Strong coupling causes anti-crossing of the lattice and etalon resonances, resulting in very strong circular polarization conversion.